



# Longest Unbordered Factor in Quasilinear Time

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Jiaoxi, Yilan, Taiwan

Introduction

Preliminaries

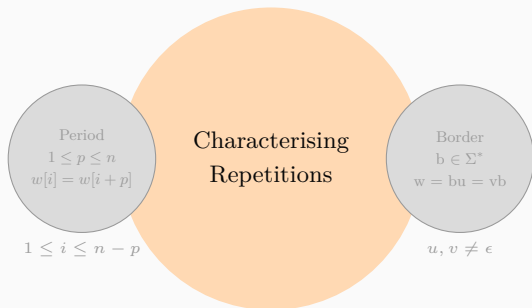
Algorithm

Analysis

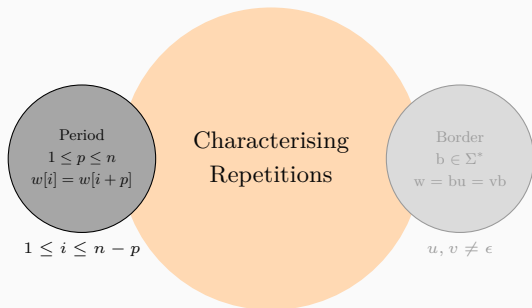
Summary

## INTRODUCTION

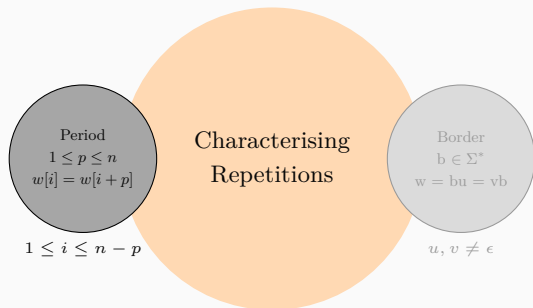
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a a b a a b a a

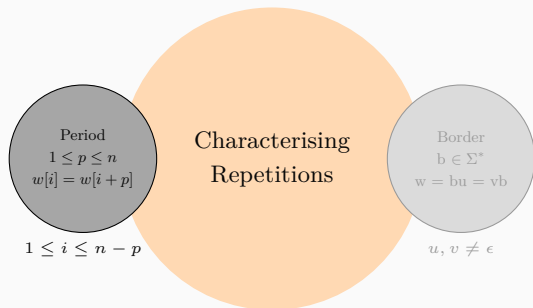


a a b a a b a a



Period: 8

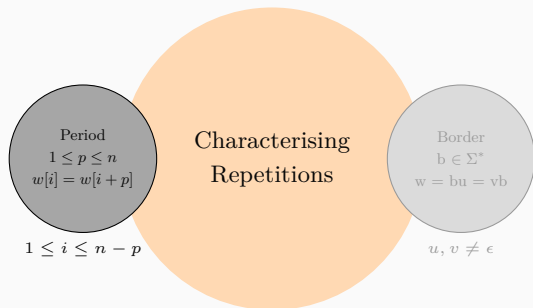
a a b a a b a a



Period: 8

7

a a b a a b a a



Period: 8

7

6

a

a

b

a

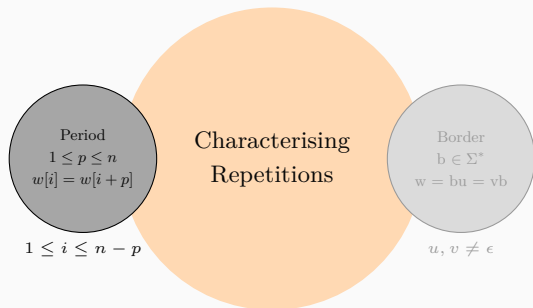
a

b

a

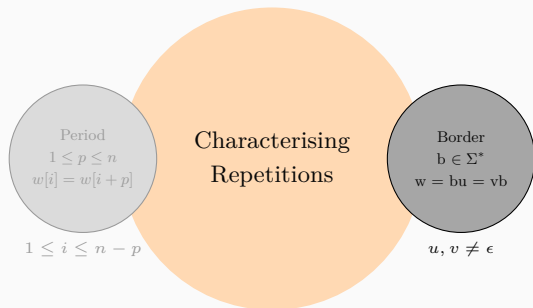
a





Period: 8                      7                      6                      3

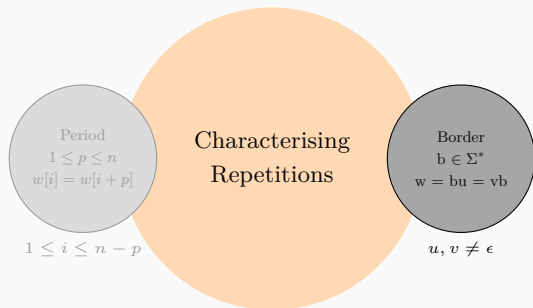
a    a    b                      a    a    b    a    a



Period: 8                      7                      6                      3

a    a    b    a    a    b    a    a

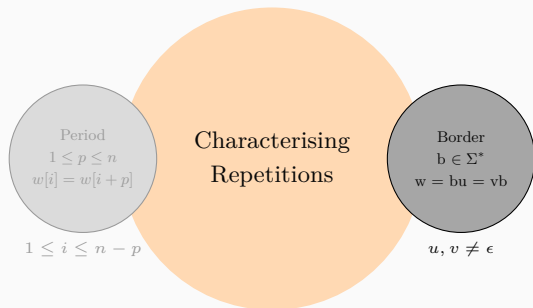
Border:



Period: 8                      7                      6                      3

a   a   b   a   a   b   a   a

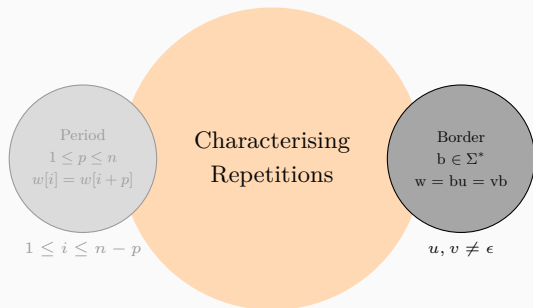
Border:  $\epsilon$



Period: 8                      7                      6                      3

a      a      b      a      a      b      a      a

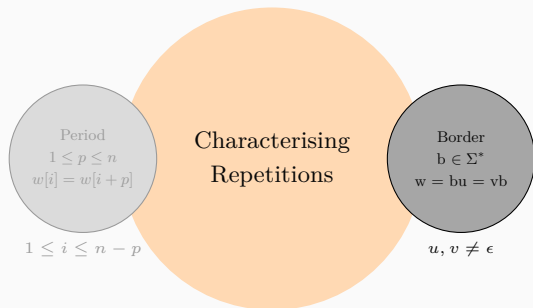
Border:  $\epsilon$                       a



Period: 8                      7                      6                      3

a a                      b a a b                      a a

Border:  $\epsilon$                       a                      aa



Period: 8

7

6

3

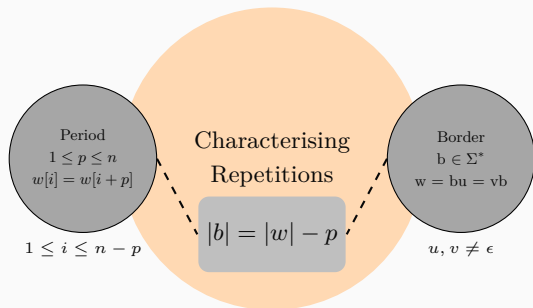
a a b a a b a a

Border:  $\epsilon$

a

aa

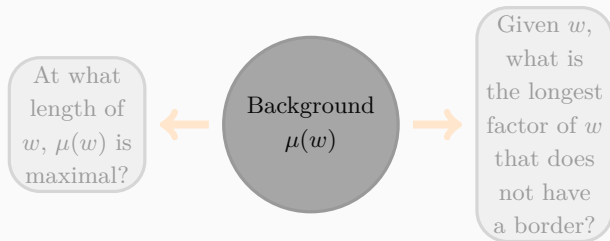
aaabaa



Period: 8                      7                      6                      3

a    a    b    a    a    b    a    a

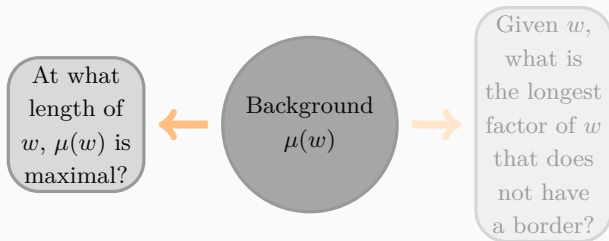
Border:  $\epsilon$                        $a$                        $aa$                        $aaabaa$



## Maximal(Longest) Unbordered Factor

- It is the longest factor of  $w$  which does not have a (non-empty) border; its length is usually represented by  $\mu(w)$
- For the word  $w = \underline{\text{baababa}}$ ,  $\mu(w) = 5$ .
- $\mu(w) \leq$  the minimal period of  $w$ .



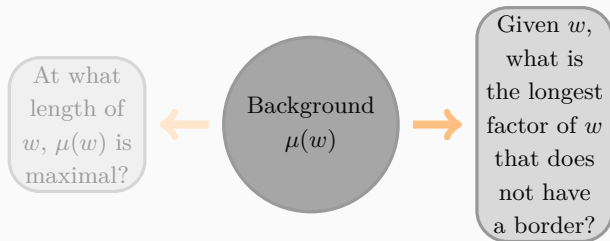


Ehrenfeucht and Silberger  
(1979)

⋮

Holub and Nowotka (2012)

- Asymptotically optimal upper bound ( $\mu(w) \leq \frac{3}{7}n$ )



Loptev et al. (2015)

- first sub-quadratic-time (average case):  $\mathcal{O}(n^2/\sigma^4)$

Gawrychowski et al. (2015)

- Worst case  $\mathcal{O}(n^{1.5})$
- $\mathcal{O}(n \log n)$  time on average
- Cording and Knudsen (2016)  $\rightarrow \mathcal{O}(n)$ -time<sup>a</sup> average-case using a refined bound on the expected length of the maximal unbordered factor

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<sup>a</sup>improved in journal version (under review)

Computing the Longest Unbordered Factor Array of a word over a general alphabet in  $\mathcal{O}(n \log n)$  time with high probability.

The algorithm can also be implemented deterministically in  $\mathcal{O}(n \log n \log^2 \log n)$  time.

## Longest Unbordered Factor Array

Input: A word  $w$  of length  $n$

Output: An array  $\text{LUF}[1..n]$  such that  $\text{LUF}[i]$  is the length of the maximal unbordered factor starting at position  $i$  in  $w$ , for all  $1 \leq i \leq n$ .

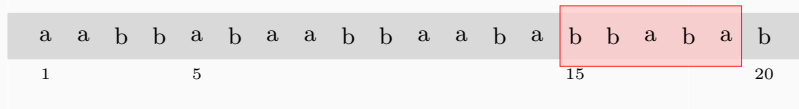
## Example

$i$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
$w[i]$	a	a	b	b	a	b	a	a	b	b	a	a	b	a	b	b	a	b	a	b
$\text{LUF}[i]$	20	3	12	9	12	3	14	3	11	3	10	5	2	3	5	2	2	2	2	1





# Our Contribution



## Longest Unbordered Factor Array

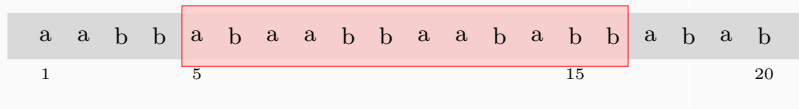
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$\text{LUF}[i]$	20	3	12	9	12	3	14	3	11	3	10	5	2	3	5	2	2	2	2	1





## PRELIMINARIES

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## Duval (1982)

The shortest (non-empty) border of  $w$  is unique and unbordered.

### Proposition: Duval (1982)

For any word  $w$ , there exists a unique sequence  $(u_1, \dots, u_k)$  of unbordered prefixes of  $w$  such that  $w = u_k \cdots u_1$ . Furthermore, the following properties hold:

- (1)  $u_1$  is the shortest border of  $w$ ;
- (2)  $u_k$  is the longest unbordered prefix of  $w$ ;
- (3) for all  $i$ ,  $1 \leq i \leq k$ ,  $u_i$  is an unbordered prefix of  $u_k$ .

### unbordered-decomposition

The unique sequence described in the above proposition provides a unique unbordered-decomposition of a word.

$$w = \text{baababbabab} = \text{baa} \cdot \text{ba} \cdot \text{b} \cdot \text{ba} \cdot \text{ba} \cdot \text{b}.$$

## Longest Successor Factor (Length and Reference) Arrays

$$\text{LSF}_\ell[i] = \begin{cases} 0 & \text{if } i = n, \\ \max\{k \mid w[i..i+k-1] = w[j..j+k-1]\}, & \text{for } i < j \leq n. \end{cases}$$

$$\text{LSF}_r[i] = \begin{cases} \text{nil} & \text{if } \text{LSF}_\ell[i] = 0, \\ \max\{j \mid w[j..j + \text{LSF}_\ell[i] - 1] = w[i..i + \text{LSF}_\ell[i] - 1]\} & \text{for } i < j \leq n. \end{cases}$$

### Example

$i$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20
$w[i]$	a	a	b	b	a	b	a	a	b	b	a	a	b	a	b	b	a	b	a	b
$\text{LSF}_\ell[i]$	5	6	5	4	3	4	3	4	3	2	1	4	3	2	1	3	2	1	0	0
$\text{LSF}_r[i]$	7	14	15	16	17	10	11	14	15	18	19	17	18	19	20	18	19	20	nil	nil

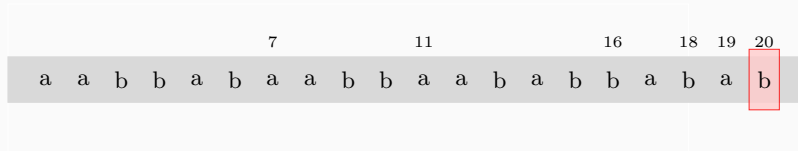
## Longest Successor Factor (Length and Reference) Arrays

						7				11					16		18	19	20
a	a	b	b	a	b	a	a	b	b	a	a	b	a	b	b	a	b	a	b

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$w[i]$	a	a	b	b	a	b	a	a	b	b	a	a	b	a	b	b	a	b	a	b
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$LSF_r[i]$	7	14	15	16	17	10	11	14	15	18	19	17	18	19	20	18	19	20	nil	nil

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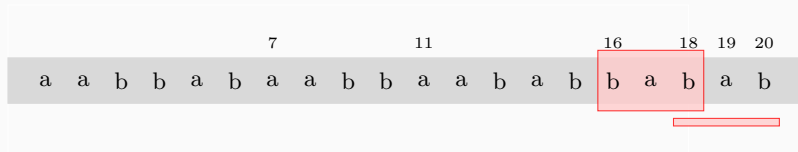
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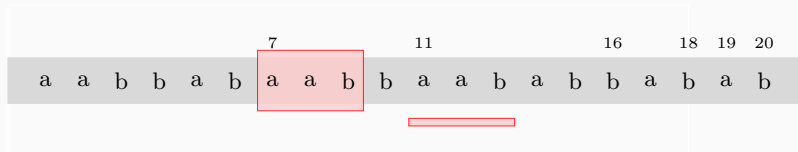
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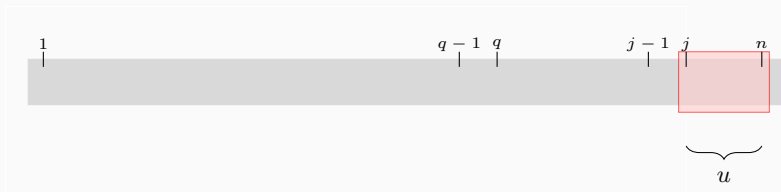
## Hook Array ( $\text{HOOK}[1..n]$ )

At each position  $j$ ,  $\text{HOOK}[j]$  stores the smallest position  $q$  such that the factor  $w[q..j-1]$  can be decomposed into unbordered prefixes of  $w[j..n]$ .

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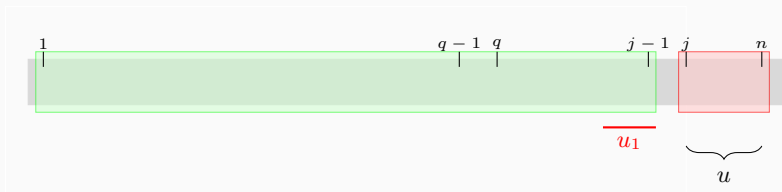
## Greedy Construction



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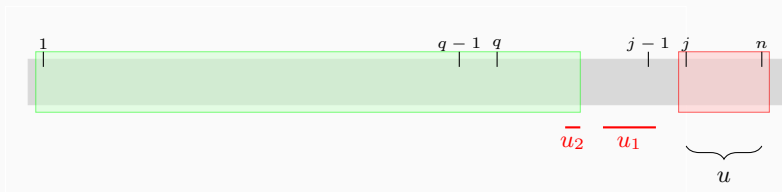
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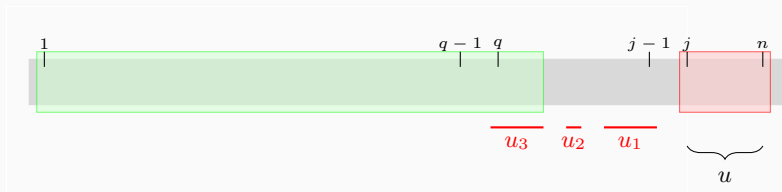
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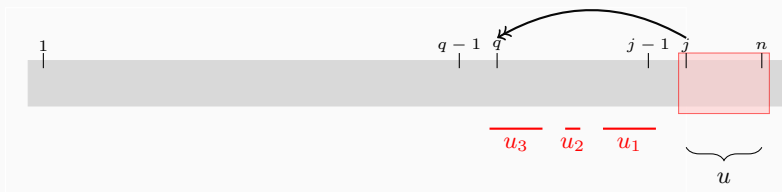
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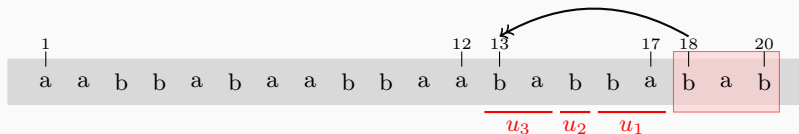
## Greedy Construction



# Combinatorial Tool

## Hook Array (HOOK[1..n])

At each position  $j$ , HOOK[ $j$ ] stores the smallest position  $q$  such that the factor  $w[q..j-1]$  can be decomposed into unbordered prefixes of  $w[j..n]$ .



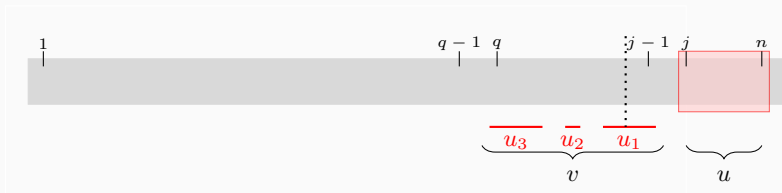
## Example

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$w[i]$	a	a	b	b	a	b	a	a	b	b	a	a	b	a	b	b	a	b	a	b
HOOK[ $i$ ]	1	1	3	3	5	3	7	1	9	3	11	11	13	1	15	13	17	13	17	20

## Hook Array (HOOK[1..n])

At each position  $j$ , HOOK[ $j$ ] stores the smallest position  $q$  such that the factor  $w[q..j-1]$  can be decomposed into unbordered prefixes of  $w[j..n]$ .

## Greedy Construction



### Observation 1

The decomposition of  $v$  into unbordered prefixes of  $u$  is unique.

### Observation 2

If  $v$  can be decomposed into unbordered prefixes of  $u$ , then every prefix of  $v$  also admits such a decomposition.



## ALGORITHM

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## Case 1

If  $\text{LSF}_\ell[i] = 0$  then

$\text{LUF}[i] = n - i + 1$ , for  $1 \leq i \leq n$ .

## Case 2

If  $\text{LSF}_r[i] = j$  and  $\text{LSF}_\ell[i] < \text{LUF}[j]$  then

$\text{LUF}[i] = j + \text{LUF}[j] - i$ , for  $1 \leq i \leq n$ .

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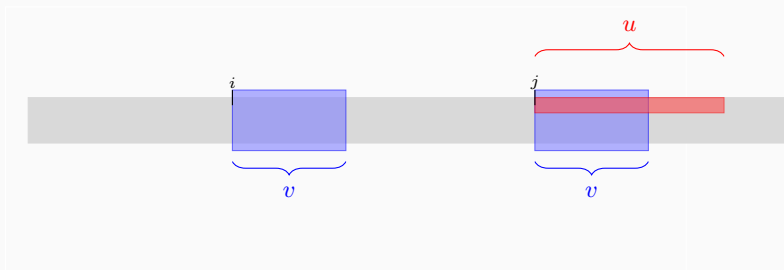
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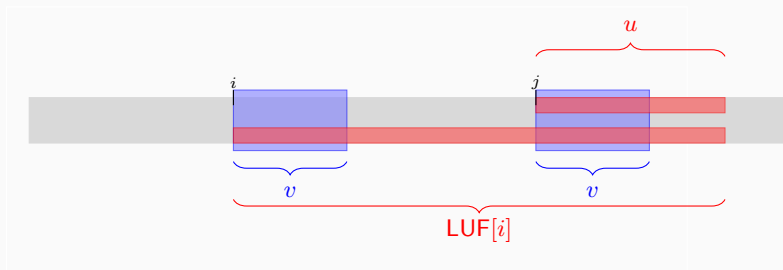
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## Case 3 (a)

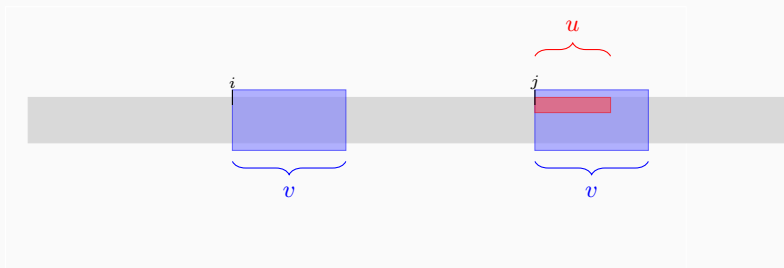
If  $LSF_r[i] = j$  and  $LSF_\ell[i] \geq LUF[j]$  then

$LUF[i] = \text{HOOK}[j] - i$  if  $i < \text{HOOK}[j]$ , for  $1 \leq i \leq n$ .

## Case 3 (b)

If  $LSF_r[i] = j$  and  $LSF_\ell[i] \geq LUF[j]$  then

$LUF[i] = LUF[j]$ , for  $1 \leq i \leq n$ .



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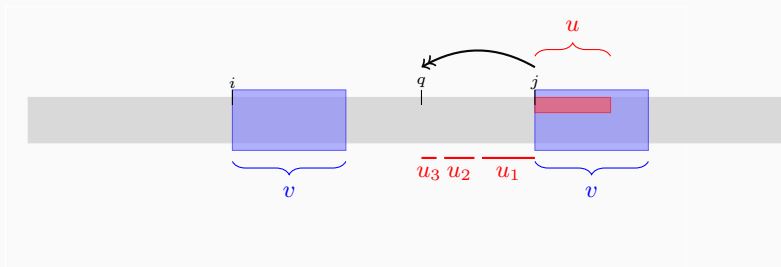
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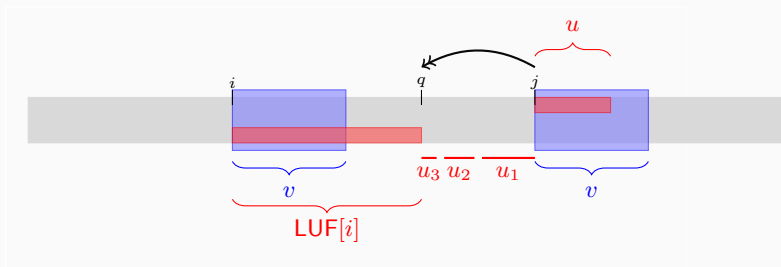
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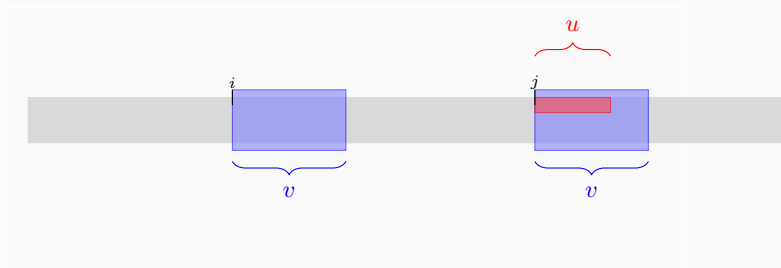
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If  $\text{LSF}_r[i] = j$  and  $\text{LSF}_\ell[i] \geq \text{LUF}[j]$  then

$\text{LUF}[i] = \text{LUF}[j]$ , for  $1 \leq i \leq n$ .





## Case 3 (a)

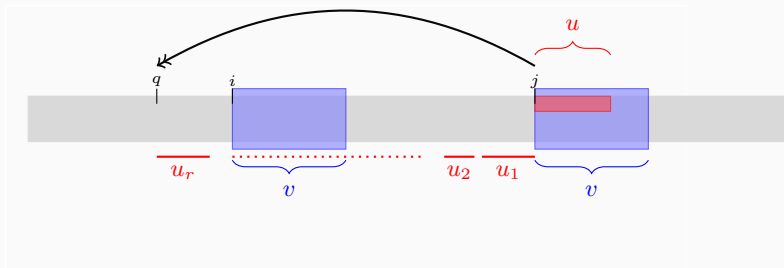
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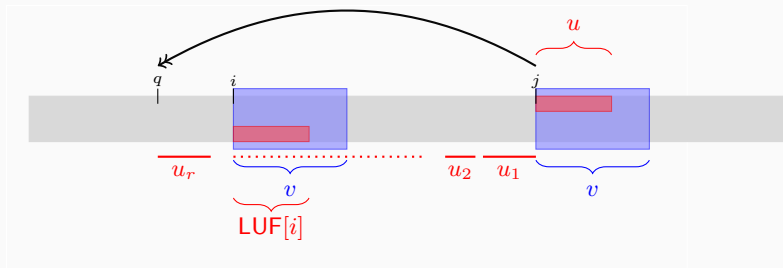
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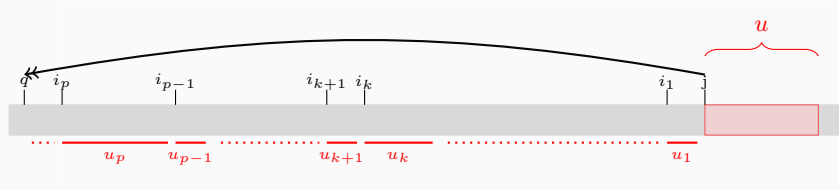
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## Naive Construction

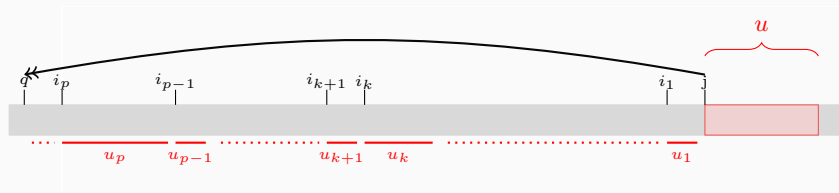


## FindBeta Function

- Returns the length  $\underline{\beta}$  of the shortest prefix of  $w[j..n]$  that is a suffix of  $w[1..q-1]$ , or  $\beta = 0$ .
- Based on ‘prefix-suffix queries’ of Kociumaka et al. (2015, 2012): Given  $d \in \mathbb{N}$ ; factors  $x$  &  $y$  of  $w$ , reports all prefixes of  $x$  of length between  $d$  and  $2d$  that occur as suffixes of  $y$ .
- A single prefix-suffix query can be implemented in  $\mathcal{O}(1)$  time after preprocessing of  $w$  which takes quasilinear<sup>1</sup> time.

<sup>1</sup>bottleneck; now solved; more later.

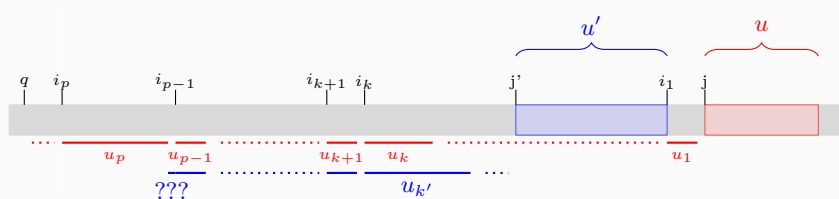
## Efficient Construction



## Observations

- In a chain, each  $u_k$  is unbordered.  $\text{LUF}[i_k] \geq |u_k| \Rightarrow \text{HOOK}[i_k] \leq i_{p-1}$ .
- Overlapping Chains.

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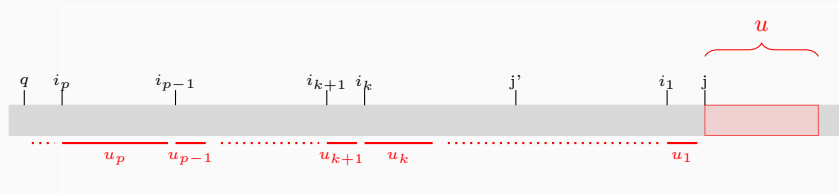
## RECYCLE

Shift hook leftwards: Avoid computations between  $i_k$  and  $i_{p-1}$  w.r.t longer factors at  $i_k$ .

Generalised Hook:  $\mathcal{H}_j^\ell$

$\mathcal{H}_j^0 = j$  and  $\mathcal{H}_j^\ell = \mathcal{H}_j$  if  $\ell \geq \text{LUF}[j]$ .

## Efficient Construction



## Implementation

- Right to left.
- Use a stack to keep track of the pairs  $(\ell, i)$  for which the hooks  $\mathcal{H}_i^\ell$  need to be determined.
- Update values in HOOK.

## ANALYSIS

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## Purpose

- Correctness
- Running time analysis
- Efficient FindBeta

Definition:  $\mathcal{T}_j^\ell$

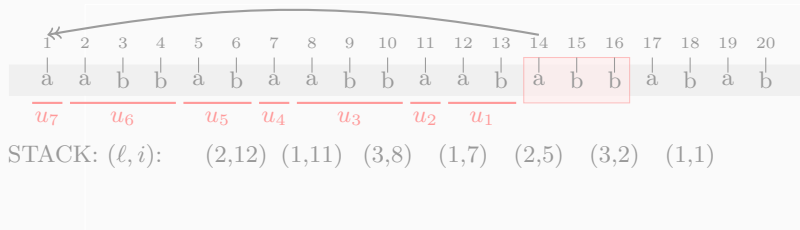
$$\mathcal{T}_j^\ell = \{i \mid (\ell, i) \text{ was pushed onto the stack of } j\}.$$

## Characteristics

- $\mathcal{S}_j = \bigcup_{\ell=1}^{\text{LUF}[j]} \mathcal{T}_j^\ell$ .
- A unique shortest unbordered prefix of  $w[j.. \text{LUF}[j] - 1]$  occurs at each  $i$  belonging to the same twin set.
- Dynamic: Parent, Base



# Twin Set



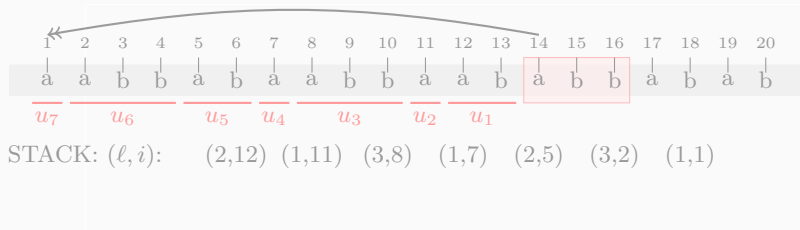
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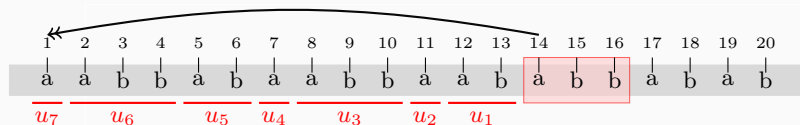
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# Twin Set



STACK:  $(\ell, i)$ : (2,12) (1,11) (3,8) (1,7) (2,5) (3,2) (1,1)

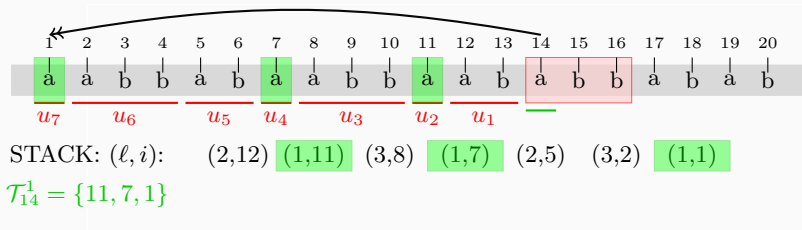
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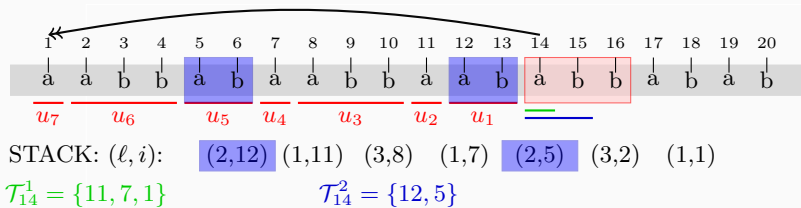
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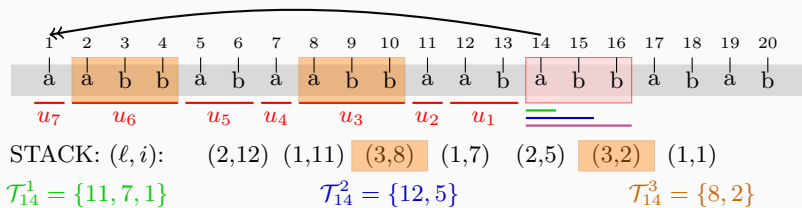
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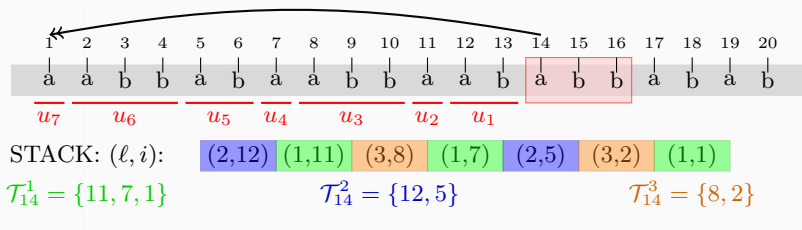
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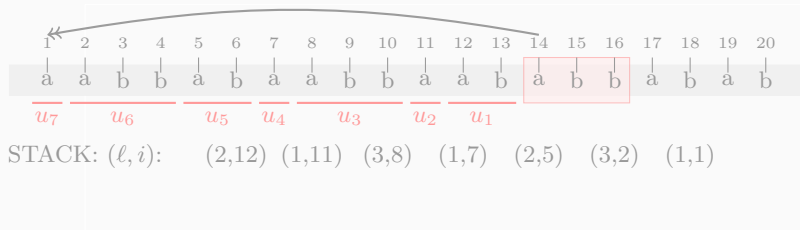
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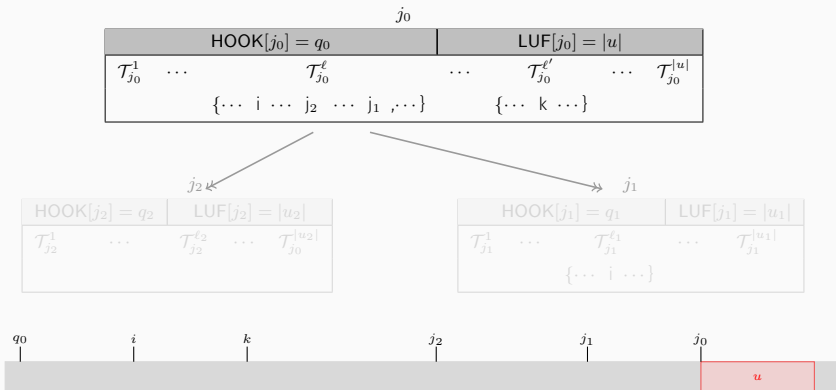
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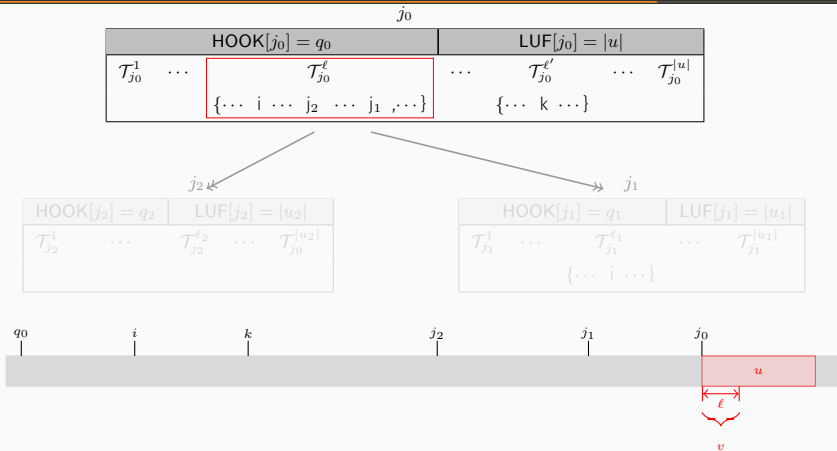




If  $j_0$  and  $j_1$  are two references such that  $j_0$  is the parent of  $j_1$  and  $j_1 \in \mathcal{T}_{j_0}^\ell$ , then each position  $i \in \mathcal{S}_{j_1}$  satisfies the following properties:

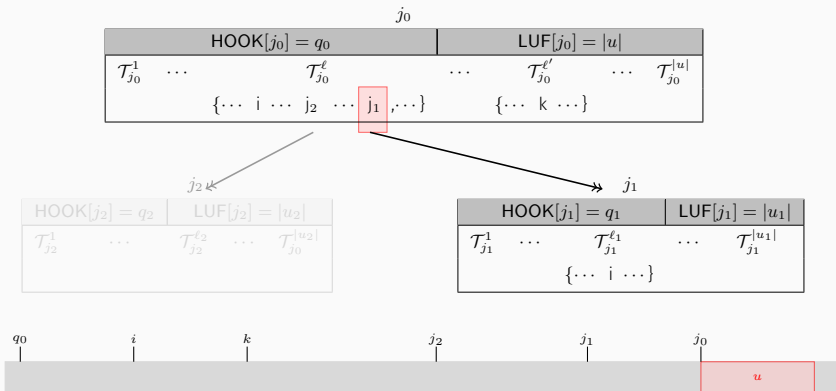
- (1)  $i \in \mathcal{T}_{j_0}^\ell$ ;
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# Behaviour



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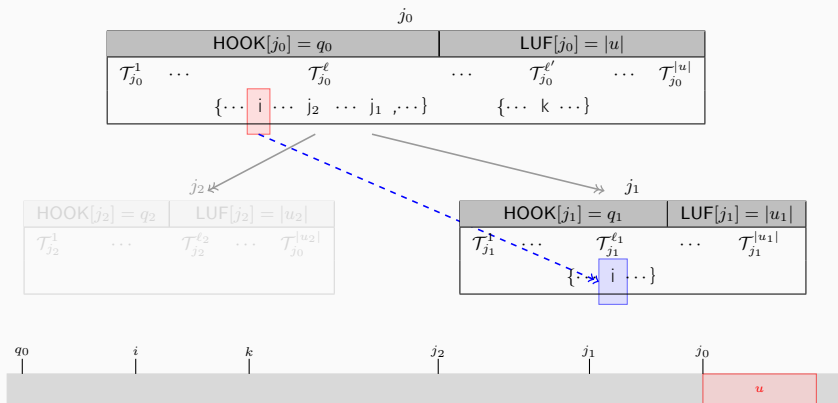
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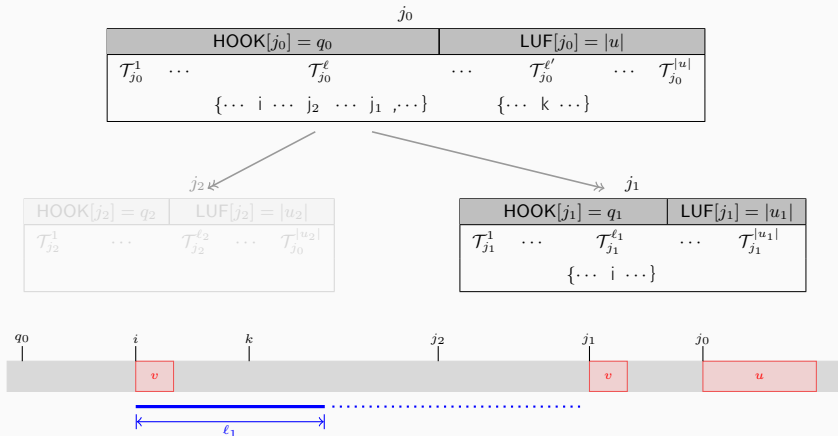
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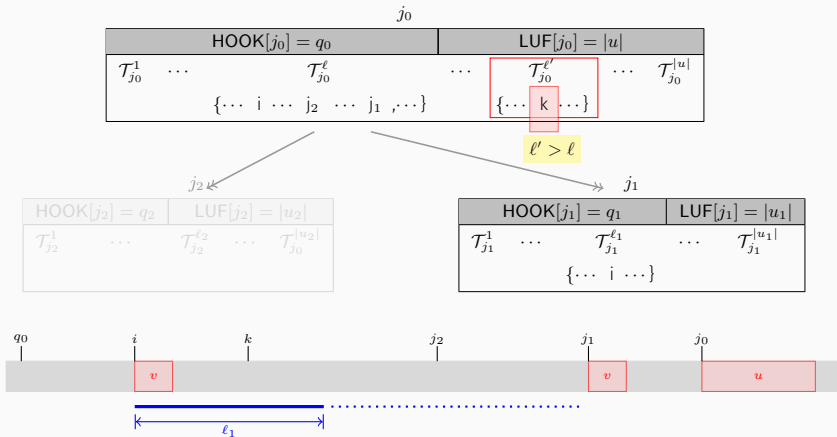
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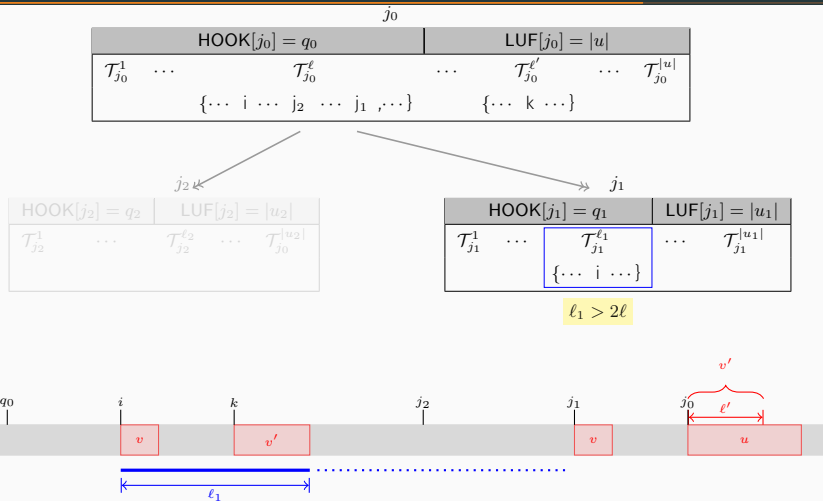
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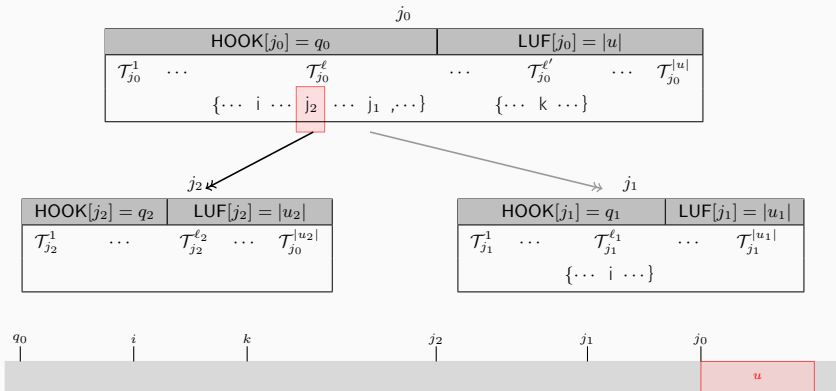
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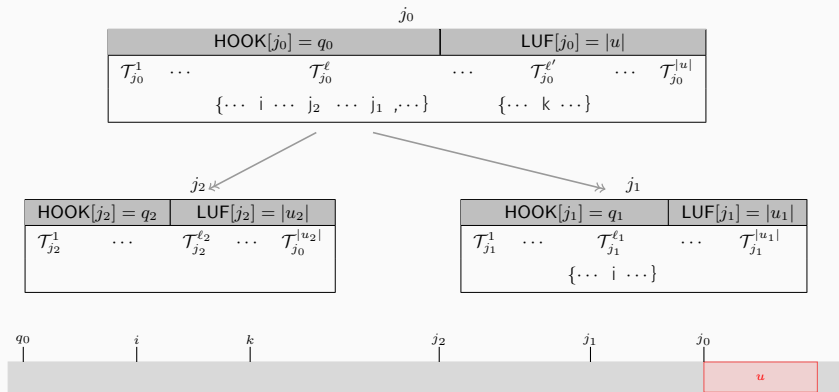
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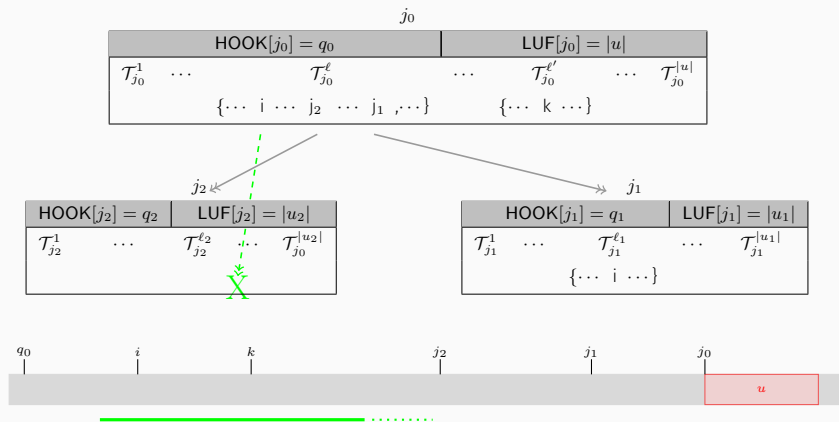


If  $j_0$  is the parent of two references  $j_2 < j_1$ , both of which belong to  $\mathcal{T}_{j_0}^\ell$ , then  $\mathcal{S}_{j_1} \cap \mathcal{S}_{j_2} = \emptyset$ .

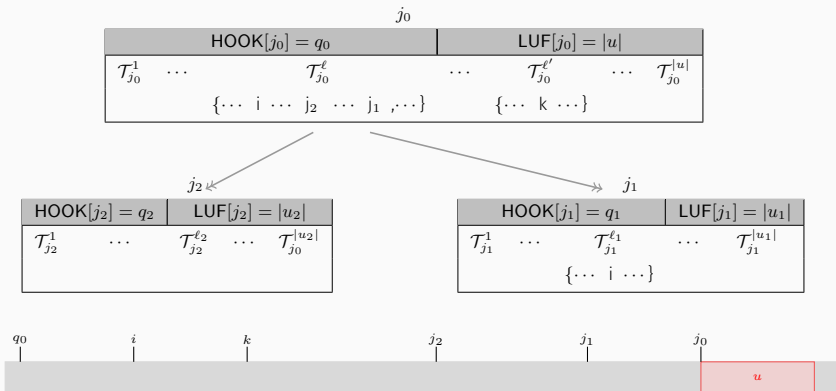




If  $j_0$  is the parent of two references  $j_2 < j_1$ , both of which belong to  $\mathcal{T}_{j_0}^\ell$ , then  $S_{j_1} \cap S_{j_2} = \emptyset$ .



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If  $j_2 < j_1$  are two base references then  $\mathcal{S}_{j_1} \cap \mathcal{S}_{j_2} = \emptyset$ .

- The total size of all the stacks used throughout the algorithm is  $\mathcal{O}(n \log n)$ .
- The total running time of the FindBeta function is  $\mathcal{O}(n \log n)$ 
  - Start from  $d = 2\ell$  for prefix-suffix queries if the reference's parent twin-set is of length  $= \ell$ .

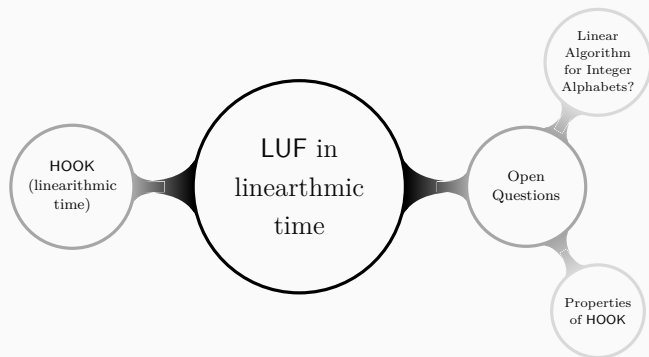
Given a word  $w$  of length  $n$ , our algorithm solves the Longest Unbordered Factor Array problem in  $\mathcal{O}(n \log n)$  time with high probability. It can also be implemented deterministically in  $\mathcal{O}(n \log n \log^2 \log n)$  time.<sup>1</sup>

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<sup>1</sup>Update: Deterministically in  $\mathcal{O}(n \log n)$  after the proposed linear time construction of the data structure to answer constant-time prefix-suffix query in Kociumaka (2018).

## SUMMARY

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Thank You!

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